

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 199584US0 CONT

First Inventor or Application Identifier Otojiro KI

Title TARGET AND PROCESS FOR ITS PRODUCTION, AND METHOD FOR FORMING A FILM HAVING A HIGHLY REFRACTIVE INDEX

Assignee Name: Asahi Glass Company, Ltd.

Assignee Address: 1-2, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100, JAPAN

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Sheets **36**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **0**

4. ☒ Oath or Declaration Total Pages **4**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers Recorded at Reel 9475, Frame
0053
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Request for Priority
Form PCT/IB/304

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/011,749
- Prior application information: Examiner: Versteeg Group Art Unit: 1753

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/011,749 Filed on March 12, 1998
- ☐ Which was published in English
- ☒ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS



22850

(703) 413-3000
FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	Corwin Paul Umbach	Date:	12-5-00
Name:	Corwin P. Umbach, Ph.D.	Registration No.:	40,211

Docket No. 199584US0 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Otojiro KIDA, ET AL.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: TARGET AND PROCESS FOR ITS PRODUCTION, AND METHOD FOR FORMING A FILM HAVING A HIGHLY REFRACTIVE INDEX

Jc921 U.S. PTO
09/729102
12/05/00

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	25 - 20 =	5	× \$18 =	\$90.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$800.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$800.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$800.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Corwin Paul Umbach

Norman F. Oblon
Registration No. 24,618

Corwin P. Umbach, Ph.D.
Registration No. 40,211

Date: 12-5-00



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

Docket No. 199584US0 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Otojiro KIDA, ET AL.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: TARGET AND PROCESS FOR ITS PRODUCTION, AND METHOD FOR FORMING A FILM HAVING A HIGHLY REFRACTIVE INDEX

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	25 - 20 =	5	× \$18 =	\$90.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$800.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$800.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$800.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Corwin Paul Umbach

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D.

Registration No. 40,211

Date: 12-5-00



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Otojiro KIDA, ET AL.

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: TARGET AND PROCESS FOR ITS PRODUCTION, AND METHOD FOR FORMING A FILM HAVING A
HIGHLY REFRACTIVE INDEX

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/011,749, filed March 12, 1998, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	7/215074	23/AUGUST/1995

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☒ was submitted to the International Bureau in PCT Application Number PCT/JP96/00767 .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Corwin Paul Umbach

Norman F. Oblon
Registration No. 24,618

Corwin P. Umbach, Ph.D.
Registration No. 40,211



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

jc921 U.S. PTO
09/729102
12/05/00

Attach #2

PATENT COOPERATION TREATY

PCT

NOTIFICATION CONCERNING
SUBMISSION OF PRIORITY DOCUMENTS

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

YAMAMOTO, Ryoza
Torimoto Kogyo Building
38, Kanda-Higashimatsushitacho
Chiyoda-ku
Tokyo 101
JAPON

Jc921 U.S. PRO

09/729102



Date of mailing (day/month/year) 23 July 1996 (23.07.96)		
Applicant's or agent's file reference AA836(F9618)		IMPORTANT NOTIFICATION
International application No. PCT/JP96/00767	International filing date (day/month/year) 25 March 1996 (25.03.96)	
Priority date (day/month/year) 23 August 1995 (23.08.95)		
Applicant ASAHI GLASS COMPANY LTD. et al		

The applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to the following application(s):

<u>Priority application No.:</u>	<u>Priority date:</u>	<u>Priority country:</u>	<u>Date of receipt of priority document:</u>
7/215074	23 Aug 1995 (23.08.95)	JP	19 Jul 1996 (19.07.96)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer T. Inoue Telephone No.: (41-22) 730.91.11
---	--